## Capelin (Mallotus villosus) in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$ (Iceland and Faroes grounds, East Greenland, Jan Mayen area)

## ICES stock advice

ICES advises that when the harvest control rule agreed by the Coastal States is applied, the initial TAC for the fishing season $2018 / 2019$ should be zero tonnes. The initial TAC should be revised based on acoustic survey information in autumn 2018. The final TAC should be set on the basis of survey information in autumn 2018 and winter 2018/2019.

## Stock development over time

The spawning-stock biomass (SSB) is estimated at 355000 tonnes (median value) at the time of spawning in March 2017, which corresponds to $95 \%$ probability of the SSB being above $\operatorname{Blim}(150000 \mathrm{t}$ ). The estimates of SSB from 2016 onwards are based on a new method with different assumptions about natural mortality. Therefore, they are not comparable with the historic SSB estimates. The estimates of the immature 1- and 2-year-old capelin from the acoustic survey in autumn 2017 are low.


Figure $1 \quad$ Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. Summary of the stock assessment. Catches (million t) by fishing season (July-March of the following year). Recruitment (immature-at-age 1 and 2; numbers in billions) as acoustic index from autumn surveys (unshaded bars indicate incomplete spatial coverage likely resulting in notable underestimation), and SSB (thousand t , with $90 \%$ confidence intervals for the last two years) at spawning time (March-April). Note that the SSB values for 2016 and onwards are not directly comparable to historical values because they are based on different assumptions about natural mortality.

## Stock and exploitation status

Table 1
Capelin in subareas 5 and 14 and Division 2 .a west of $5^{\circ} \mathrm{W}$. State of the stock and fishery relative to reference points.

|  | Fishing pressure |  |  |  |  | Stock size |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 2014 | 2015 |  | 2016 |  | 2015 | 2016 | 2017 |
| Maximum Sustainable Yield | $\mathrm{F}_{\mathrm{MSY}}$ | $?$ | ? | ? | Undefined | MSY $\mathrm{B}_{\text {Trigger }}$ | ? | $?$ | ? Undefined |
| Precautionary Approach | $F_{p a^{\prime}} F_{\text {lim }}$ | ? | 3 | $?$ | Undefined | $\mathrm{B}_{\mathrm{pa}}, \mathrm{B}_{\mathrm{lim}}$ |  | 8 | - Full reproductive capacity |
| Management plan | $\mathrm{F}_{\text {MGT }}$ |  | ? |  | Undefined | $\mathrm{B}_{\mathrm{MGT}}$ |  |  | ( Above |

## Catch options

Table 2 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. The basis for the catch options.

| Variable | Value | Source | Notes |
| :--- | ---: | :---: | :--- |
| Immature age 1 (2017) | 24.6 billion | ICES (2017a) | Index from the autumn acoustic survey 2017 |
| Immature age 2 (2017) | 1.5 billion | ICES (2017a) | Index from the autumn acoustic survey 2017 |

Table 3 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. The catch options.

| Basis | Catches in 2018/2019 (t) |
| :--- | :---: |
| ICES advice basis |  |
| Harvest control rule agreed by the Coastal States (precautionary <br> approach for initial TAC). | 0 |

## Basis of the advice

The basis of the advice is the harvest control rule agreed by the Coastal States in 2015. This implies applying the advice rule established by ICES in 2015 (ICES, 2015) for setting an initial TAC on the basis of immature abundance (ages 1-2) in the autumn acoustic survey (Figure 2). ICES recommends that the initial TAC is revised based on acoustic survey information in autumn 2018 (intermediate TAC), with the final TAC being set on the results of the autumn and/or winter surveys in 2018/2019.


Figure 2 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. Catch advice (initial TAC) according to the ICES advice rule, based on the measured number of immature capelin the previous autumn (about 16 months earlier than the winter survey used for the final TAC). The predicted final TAC is shown as the black solid line and the initial TAC as the blue dashed line. The latter is set using an index abundance trigger point ( $U_{\text {trigger }}$, red vertical line) of 50 billion immature fish, with a cap on the initial TAC of 400 kt . The green lines show the index value from the autumn acoustic survey in 2017, with the corresponding initial TAC for 2018/2019 shown on the $y$-axis.

Table $4 \quad$ Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. The basis of the advice.

| Advice basis | Harvest control rule agreed by the Coastal States (precautionary approach for initial TAC) |
| :--- | :--- |
| Management plan | The Coastal States (Iceland, Greenland, and Norway), have agreed (Coastal State consultations, 2015) to <br> use the following harvest control rule as the basis for management: an initial TAC is set following the rule |
|  | developed by ICES (2015), with a very low probability of being higher than a regression estimated final |
|  | TAC. This is followed by an intermediate TAC set in the autumn and a final TAC set in winter, that will have |
|  |  |

## Quality of the assessment

The autumn survey in 2016 severely underestimated the mature stock component. Hence, the coverage was increased considerably in the autumn of 2017, extending further east into the Iceland Sea, covering regions around Jan Mayen and further north along the Greenlandic shelf, while covering areas much closer to the Greenlandic coast. No capelin were observed in the eastern part of the Iceland Sea and around Jan Mayen, only small amounts north along the Greenland shelf break, but considerable quantities were observed close to the Greenland coast. Technical issues caused delays and affected the survey schedule and coverage. As a consequence there is a gap in coverage north of Iceland and the survey did not reach as far to the southwest as originally planned. This might lead to an underestimation of juveniles.

It should be noted that the historical estimates of SSB have not been updated to take into account the revised process for estimating natural mortality. This has no implications for the advice.

## Issues relevant for the advice

This initial TAC advice will be followed up with revisions by a national institute leading to a final TAC within the fishing season.

## Reference points

Table $5 \quad$ Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. Reference points, values, and their technical basis.

| Framework | Reference points | Value | Technical basis | Source |
| :---: | :---: | :---: | :---: | :---: |
| MSY approach | MSY Btrigger |  |  |  |
|  | $\mathrm{F}_{\text {MSY }}$ |  |  |  |
| Precautionary approach | Blim | 150000 t | $\mathrm{B}_{\text {loss }}$ | ICES (2015) |
|  | $\mathrm{B}_{\mathrm{pa}}$ |  |  |  |
|  | $\mathrm{F}_{\text {lim }}$ |  |  |  |
|  | $\mathrm{F}_{\mathrm{pa}}$ |  |  |  |
| Management plan | $\mathrm{SSB}_{\text {mgt }}$ | 150000 t | Blim | Coastal States consultations (2015) |
|  | $\mathrm{F}_{\mathrm{mgt}}$ |  |  |  |

## Basis of the assessment

Table $6 \quad$ Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. Basis of assessment and advice.

| ICES stock data category | 1 (ICES, 2016) |
| :--- | :--- |
| Assessment type | The final TAC is based on a model which takes into account uncertainty in surveys and predation from cod, <br> haddock, and saithe on capelin to ensure that the advised catch will result in a less than 5\% chance of SSB going <br> below Blim. The initial TAC advice is set by applying an advice rule designed to ensure a low risk of advised catch <br> being higher than the final TAC (see WKICE; ICES, 2015). |
| Input data | The abundance estimate of immature capelin of ages 1 and 2 from acoustic surveys in autumn; Preliminary <br> cruise report (Bardarson and Jonsson, 2017). |
| Discards and bycatch | Not included, considered negligible |
| Indicators | None |
| Other information | Last benchmarked in 2015 (ICES, 2015) |
| Working group | North-Western Working Group (NWWG) |

## Information from stakeholders

There is no information available.

## History of the advice, catch, and management

Table $7 \quad$ Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. ICES advice and catch. All weights are in tonnes.

| Season | ICES advice | Initial TAC advice $\wedge$ | Agreed final TAC ^^ | ICES catch ^^^ |
| :---: | :--- | ---: | ---: | ---: |
| $1986 / 1987$ | TAC | 1100000 | 1290000 | 1333000 |
| $1987 / 1988$ | TAC | 500000 | 1115000 | 1116000 |
| $1988 / 1989$ | TAC | 900000 | 1065000 | 1036000 |
| $1989 / 1990$ | TAC | 900000 | 900000 | 808000 |
| $1990 / 1991$ | TAC | 600000 | 250000 | 314000 |
| $1991 / 1992$ | No fishery pending survey results | 0 | 740000 | 677000 |
| $1992 / 1993$ | Precautionary TAC^ | 500000 | 900000 | 788000 |
| $1993 / 1994$ | TAC | 900000 | 1250000 | 1179000 |
| $1994 / 1995$ | Apply the harvest control rule | 950000 | 850000 | 864000 |
| $1995 / 1996$ | Apply the harvest control rule | 800000 | 1390000 | 930000 |
| $1996 / 1997$ | Apply the harvest control rule | 1100000 | 1600000 | 1571000 |
| $1997 / 1998$ | Apply the harvest control rule | 850000 | 1265000 | 1245000 |
| $1998 / 1999$ | Apply the harvest control rule | 950000 | 1200000 | 1100000 |
| $1999 / 2000$ | Apply the harvest control rule | 866000 | 1000000 | 934000 |
| $2000 / 2001$ | Apply the harvest control rule | 650000 | 1090000 | 1071000 |
| $2001 / 2002$ | Apply the harvest control rule | 700000 | 1300000 | 1250000 |
| $2002 / 2003$ | Apply the harvest control rule | 690000 | 1000000 | 988000 |
| $2003 / 2004$ | Apply the harvest control rule | 555000 | 900000 | 741000 |
| $2004 / 2005$ | Apply the harvest control rule | 335000 | 985000 | 784000 |
| $2005 / 2006$ | Apply the harvest control rule | No fishery | 235000 | 238000 |
| $2006 / 2007$ | Apply the harvest control rule | No fishery | 385000 | 377000 |
| $2007 / 2008$ | Apply the harvest control rule | 207000 | 207000 | 202000 |
| $2008 / 2009$ | Apply the harvest control rule | No fishery | 15000 |  |
| $2009 / 2010$ | Apply the harvest control rule | No fishery | 150 | 15000 |
| $2010 / 2011$ | Apply the harvest control rule | No fishery | 366000 | 765000 |
| $2011 / 2012$ | Set the TAC at $50 \%$ of the initial quota in the HCR | No fishery | 570000 | 160000 |

^ Advised for the early part of the season.
$\wedge \wedge$ Final TAC recommended by national scientists for the fishing season (July - March).
^^^ July-March of the following year.

* Only scouting TAC was allocated in the latter half of February 2009.
** Initial TAC advice based on low probability of advised catch being higher than the final TAC.


## History of the catch and landings

Table 8 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. Catch distribution by fleet in 2016/2017 as estimated by ICES.

| Catch | Landings |  | Discards |
| :---: | :---: | :---: | :---: |
| 300000 tonnes | Purse seine $97 \% \quad$ Pelagic trawl $3 \%$ | Negligible |  |
|  | 300000 tonnes |  |  |

Table $9 \quad$ Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. History of commercial catch and landings; official values are presented by season and country. All weights are in tonnes.

|  | Winter season |  |  |  |  | Summer and autumn season |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Year | $\begin{aligned} & \underset{O}{C} \\ & \underline{\pi} \\ & \underline{U} \end{aligned}$ | $\begin{aligned} & \text { त } \\ & \substack{0 \\ 3 \\ 0 \\ 2} \end{aligned}$ | $\begin{aligned} & \text { む } \\ & \frac{0}{\pi} \\ & \stackrel{0}{\amalg} \end{aligned}$ |  | $\overline{T 0}$ 0 0 0 0 0 0 | $\begin{aligned} & \text { 믈 } \\ & \text { ㅈ } \\ & \text { U } \end{aligned}$ | $\begin{aligned} & \text { त } \\ & \sum_{3}^{3} \\ & 0 \\ & 2 \end{aligned}$ |  |  | $\stackrel{?}{\text { ¢ }}$ | $\overline{T 0}$ 0 0 0 0 0 0 |  |
| 1964 | 8600 | - | - | - | 8600 | - | - | - | - | - | - | 8600 |
| 1965 | 49700 | - | - | - | 49700 | - | - | - | - | - | - | 49700 |
| 1966 | 124500 | - | - | - | 124500 | - | - | - | - | - | - | 124500 |
| 1967 | 97200 | - | - | - | 97200 | - | - | - | - | - | - | 97200 |
| 1968 | 78100 | - | - | - | 78100 | - | - | - | - | - | - | 78100 |
| 1969 | 170600 | - | - | - | 170600 | - | - | - | - | - | - | 170600 |
| 1970 | 190800 | - | - | - | 190800 | - | - | - | - | - | - | 190800 |
| 1971 | 182900 | - | - | - | 182900 | - | - | - | - | - | - | 182900 |
| 1972 | 276500 | - | - | - | 276500 | 0 | - | - | - | - | - | 276500 |
| 1973 | 440900 | - | - | - | 440900 | - | - | - | - | - | - | 440900 |
| 1974 | 461900 | - | - | - | 461900 | - | - | - | - | - | - | 461900 |
| 1975 | 457100 | - | - | - | 457100 | 3100 | - | - | - | - | 3100 | 460200 |
| 1976 | 338700 | - | - | - | 338700 | 114400 | - | - | - | - | 114400 | 453100 |
| 1977 | 549200 | - | 24300 | - | 573500 | 259700 | - | - | - | - | 259700 | 833200 |
| 1978 | 468400 | - | 36200 | - | 504600 | 497500 | 154100 | 3400 | - | - | 655000 | 1159600 |
| 1979 | 521700 | - | 18200 | - | 539900 | 442000 | 124000 | 22000 | - | - | 588000 | 1127900 |
| 1980 | 392100 | - | - | - | 392100 | 367400 | 118700 | 24200 | - | 17300 | 527600 | 919700 |
| 1981 | 156000 | - | - | - | 156000 | 484600 | 91400 | 16200 | - | 20800 | 613000 | 769000 |
| 1982 | 13200 | - | - | - | 13200 | - | - | - | - | - | - | 13200 |
| 1983 | - | - | - | - | - | 133400 | - | - | - | - | 133400 | 133400 |
| 1984 | 439600 | - | - | - | 439600 | 425200 | 104600 | 10200 | - | 8500 | 548500 | 988100 |
| 1985 | 348500 | - | - | - | 348500 | 644800 | 193000 | 65900 | - | 16000 | 919700 | 1268200 |
| 1986 | 341800 | 50000 | - | - | 391800 | 552500 | 149700 | 65400 | - | 5300 | 772900 | 1164700 |
| 1987 | 500600 | 59900 | - | - | 560500 | 311300 | 82100 | 65200 | - | - | 458600 | 1019100 |
| 1988 | 600600 | 56600 | - | - | 657200 | 311400 | 11500 | 48500 | - | - | 371400 | 1028600 |
| 1989 | 609100 | 56000 | - | - | 665100 | 53900 | 52700 | 14400 | - | - | 121000 | 786100 |
| 1990 | 612000 | 62500 | 12300 | - | 686800 | 83700 | 21900 | 5600 | - | - | 111200 | 798000 |
| 1991 | 202400 | - | - | - | 202400 | 56000 | - | - | - | - | 56000 | 258400 |
| 1992 | 573500 | 47600 | - | - | 621100 | 213400 | 65300 | 18900 | 500 | - | 298100 | 919200 |
| 1993 | 489100 | - | - | 500 | 489600 | 450000 | 127500 | 23900 | 10200 | - | 611600 | 1101200 |
| 1994 | 550300 | 15000 | - | 1800 | 567100 | 210700 | 99000 | 12300 | 2100 | - | 324100 | 891200 |
| 1995 | 539400 | - | - | 400 | 539800 | 175500 | 28000 | - | 2200 | - | 205700 | 745500 |
| 1996 | 707900 | - | 10000 | 5700 | 723600 | 474300 | 206000 | 17600 | 15000 | 60900 | 773800 | 1497400 |
| 1997 | 774900 | - | 16100 | 6100 | 797100 | 536000 | 153600 | 20500 | 6500 | 47100 | 763600 | 1561500 |
| 1998 | 457000 | - | 14700 | 9600 | 481300 | 290800 | 72900 | 26900 | 8000 | 41900 | 440500 | 921800 |
| 1999 | 607800 | 14800 | 13800 | 22500 | 658900 | 83000 | 11400 | 6000 | 2000 | - | 102400 | 761300 |
| 2000 | 761400 | 14900 | 32000 | 22000 | 830300 | 126500 | 80100 | 30000 | 7500 | 21000 | 265100 | 1095400 |
| 2001 | 767200 | - | 10000 | 29000 | 806200 | 150000 | 106000 | 12000 | 9000 | 17000 | 294000 | 1061200 |
| 2002 | 901000 | - | 28000 | 26000 | 955000 | 180000 | 118700 | - | 13000 | 28000 | 339700 | 1294700 |
| 2003 | 585000 | - | 40000 | 23000 | 648000 | 96500 | 78000 | 3500 | 2500 | 18000 | 198500 | 846500 |
| 2004 | 478800 | 15800 | 30800 | 17500 | 542900 | 46000 | 34000 | - | 12000 | 0 | 92000 | 634900 |
| 2005 | 594100 | 69000 | 19000 | 10000 | 692000 | 9000 | - | - | - | - | 9000 | 701100 |
| 2006 | 193000 | 8000 | 30000 | 7000 | 238000 | - | - | - | - | 0 | - | 238000 |
| 2007 | 307000 | 38000 | 19000 | 12800 | 376800 | - | - | - | - | - | - | 376800 |
| 2008 | 149000 | 37600 | 10100 | 6700 | 203400 | - | - | - | - | - | - | 203400 |
| 2009 | 15100 | - | - | - | 15100 | - | - | - | - | - | - | 15100 |


|  | Winter season |  |  |  |  | Summer and autumn season |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Year |  | $\begin{aligned} & \text { त } \\ & \sum_{3}^{0} \\ & 0 \end{aligned}$ | $$ |  | $\begin{aligned} & \overline{0} \\ & \stackrel{0}{0} \\ & 0 \\ & 0 \\ & 0 \\ & \sim \\ & \sim \end{aligned}$ | $\begin{aligned} & \underset{\bar{C}}{0} \\ & \underline{0} \\ & \underline{O} \end{aligned}$ | $\begin{aligned} & \text { 㐅} \\ & \sum_{0}^{n} \\ & \text { Z } \end{aligned}$ |  |  | ? |  |  |
| 2010 | 110600 | 28300 | 7700 | 4700 | 150700 | 5400 | - | - | - | - | 5400 | 156100 |
| 2011 | 321800 | 30800 | 19500 | 13100 | 385200 | 8400 | 58500 | - | 5200 | - | 72100 | 457300 |
| 2012 | 576200 | 46200 | 29700 | 22300 | 674400 | 9000 | - | - | 1000 | - | 10000 | 684400 |
| 2013 | 454000 | 40000 | 30000 | 17000 | 541000 | - | - | - | - | - | - | 541000 |
| 2014 | 111400 | 6200 | 8000 | 16100 | 141700 | - | 30500 | - | 5300 | 9700 | 45500 | 187200 |
| 2015 | 353600 | 50600 | 29900 | 37900 | 471900 | - | - | - | 2500 | - | 2500 | 474400 |
| 2016 | 101100 | 58200 | 8500 | 3300 | 171100 | - | - | - | - | - | - | 171100 |
| 2017* | 196800 | 60400 | 15000 | 27400 | 299800 |  |  |  |  |  |  |  |

* Preliminary.


## Summary of the assessment

Table $10 \quad$ Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. Assessment summary. Weights are in tonnes. For a fishing season $\mathrm{Y} / \mathrm{Y}+1$ the recruitment column refers to the autumn of year Y and SSB columns refer to the spring of $\mathrm{Y}+1$.

| Fishing season | Recruitment Index (Immature age 1 and 2) | SSB* <br> (median value) | SSB* <br> 95 ${ }^{\text {th }}$ percentile | SSB* <br> $5^{\text {th }}$ percentile | Historical SSB estimates | Catch |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | thousands | tonnes |  |  | tonnes | tonnes |
| 1978/1979 |  |  |  |  | 600000 |  |
| 1979/1980 | 22000000 |  |  |  | 300000 | 980000 |
| 1980/1981 | 23500000 |  |  |  | 170000 | 684000 |
| 1981/1982 | 22100000 |  |  |  | 140000 | 626000 |
| 1982/1983 | 69700000 |  |  |  | 260000 | 0 |
| 1983/1984 | 52300000 |  |  |  | 440000 | 573000 |
| 1984/1985 | 78400000 |  |  |  | 460000 | 896000 |
| 1985/1986 | 46400000 |  |  |  | 460000 | 1312000 |
| 1986/1987 | 60000000 |  |  |  | 420000 | 1334000 |
| 1987/1988 | 22000000 |  |  |  | 400000 | 1116000 |
| 1988/1989 | 50600000 |  |  |  | 440000 | 1036000 |
| 1989/1990 | 31000000 |  |  |  | 115000 | 807000 |
| 1990/1991 | 27200000 |  |  |  | 330000 | 313000 |
| 1991/1992 | 65300000 |  |  |  | 475000 | 677000 |
| 1992/1993 | 106900000 |  |  |  | 499000 | 788000 |
| 1993/1994 | 110200000 |  |  |  | 460000 | 1178000 |
| 1994/1995 | 125900000 |  |  |  | 420000 | 864000 |
| 1995/1996 | 195100000 |  |  |  | 830000 | 930000 |
| 1996/1997 | 128300000 |  |  |  | 430000 | 1570000 |
| 1997/1998 | 97600000 |  |  |  | 492000 | 1246000 |
| 1998/1999 | 126900000 |  |  |  | 500000 | 1100000 |
| 1999/2000 | 94200000 |  |  |  | 650000 | 932000 |
| 2000/2001 | 114600000 |  |  |  | 450000 | 1071000 |
| 2001/2002 | 104200000 |  |  |  | 475000 | 1249000 |
| 2002/2003 | 1500000 |  |  |  | 410000 | 988000 |
| 2003/2004 | 8000000 |  |  |  | 535000 | 742000 |
| 2004/2005 | 8000000 |  |  |  | 602000 | 784000 |
| 2005/2006 | 0 |  |  |  | 400000 | 247000 |
| 2006/2007 | 45000000 |  |  |  | 410000 | 377000 |
| 2007/2008 | 5800000 |  |  |  | 406000 | 203000 |
| 2008/2009 | 7900000 |  |  |  | 328000 | 15000 |
| 2009/2010 | 13000000 |  |  |  | 410000 | 151000 |
| 2010/2011 | 97900000 |  |  |  | 411000 | 391000 |
| 2011/2012 | 12600000 |  |  |  | 418000 | 747000 |
| 2012/2013 | 20500000 |  |  |  | 417000 | 551000 |
| 2013/2014 | 67000000 |  |  |  | 424000 | 142000 |
| 2014/2015 | 60300000 |  |  |  | 460000 | 518000 |
| 2015/2016 | 6200000 | 298000 | 447828 | 150338 |  | 174000 |
| 2016/2017 | 9400000 | 355000 | 596320 | 150190 |  | 300000** |
| 2017/2018 | 26100000 |  |  |  |  |  |

*Based on predation model in current advice rule, not directly comparable to historical SSB values because it is based on different assumptions about natural mortality.
**Preliminary.

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