### 2.3.5 Capelin (Mallotus villosus) in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$ (Iceland and Faroes grounds, East Greenland, Jan Mayen area)

## ICES stock advice

ICES advises that when the precautionary approach is applied, the initial quota in the fishing season 2016/2017 should be zero tonnes. The initial quota should be revised based on in-season acoustic survey information in autumn 2016. The final TAC should be set on the basis of survey information in autumn 2016 and winter 2016/2017.

## Stock development over time

The ICES assessment indicates a spawning-stock biomass (SSB) of 304000 t at the time of spawning in 2016 (March-April), which corresponds to a greater than $95 \%$ probability of the SSB being above Blim ( 150000 t ). The method to estimate natural mortality has been revised to take predator abundance into account. The historic SSB estimates have not been revised using the new method and the SSB estimate in 2016 is therefore not comparable with the historic SSB estimates. The estimates of the 2014 year class and immature 1- and 2-year-old capelin from the acoustic survey in autumn 2015 are low. However, because of incomplete survey coverage these are likely to be underestimates.


Figure 2.3.5.1 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. Landings (million t) by fishing season (July-March of the following year). Recruitment-at-age 1 (numbers in billions) as acoustic index from autumn surveys (hollow bars indicate incomplete spatial coverage likely resulting in notable underestimation), and SSB (thousand $t$ ) at spawning time (MarchApril). Note that the SSB value for 2016 (grey diamond) is not directly comparable to historical values or $\mathrm{B}_{\text {lim }}$ because it is based on different assumptions about natural mortality.

## Stock and exploitation status

Table 2.3.5.1 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. State of the stock and the fishery relative to reference points.

|  |  | Fishing pressure |  |  |  | Stock size |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 2013 | 2014 |  | 2015 |  | 2014 | 2015 | 2016 |
| Maximum sustainable yield | $\mathrm{F}_{\text {MSY }}$ | ? | ? | ? | Undefined | MSY $\mathrm{B}_{\text {trigger }}$ | ? | ? | ? Undefined |
| Precautionary approach | $\begin{aligned} & \mathrm{F}_{\mathrm{pa}}, \\ & \mathrm{~F}_{\text {lim }} \end{aligned}$ | ? | ? |  | Undefined | Blim | $\checkmark$ | (1) | ( Above $\mathrm{Bl}_{\text {lim }}$ |
| Management plan | $\mathrm{F}_{\text {MGT }}$ | ? | ? | ? | Undefined | SSBMGT | ? | ? | ? Undefined |

## Catch options

Table 2.3.5.2 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. The basis for the catch options.

| Variable | Value | Source | Notes |
| :---: | :---: | :---: | :--- |
| Immature age 1 (2015) | 5.0 billion | ICES (2016a) | An index from the autumn acoustic survey 2015. |
| Immature age 2 (2015) | 1.2 billion | ICES (2016a) | An index from the autumn acoustic survey 2015. |

Table 2.3.5.3 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. The catch options.

| Catches in 2016/2017 (t) | Rationale | Basis |
| :---: | :--- | :--- |
| 0 | Advice for initial quota, precautionary | Precautionary approach. ICES advice rule (ICES, 2015). See Table |
|  | considerations | 2.3.5.4 and Figure 2.3.5.2. |

## Basis of the advice

The basis of this year's advice is the advice rule established by ICES in 2015 (ICES, 2015) for setting an initial quota on the basis of immature abundance (ages 1-2) in the autumn acoustic survey. ICES recommends that the initial quota is revised based on in-season acoustic survey information in autumn 2016 (intermediate quota), with the final TAC being set on the results of the autumn and/or winter surveys in 2016/2017.


Figure 2.3.5.2 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. Catch advice according to the ICES advice rule, based on the measured number of immature capelin the previous autumn (about 16 months earlier than the winter survey used for the final TAC). The black numbers are the estimated fishable biomass projected from the survey estimates of immature capelin in the survey year. The predicted final TAC is shown as the black solid line and the initial quota as the blue dashed line. The latter is set using an index abundance trigger point ( $U_{\text {trigger, }}$, red vertical line) of 50 billion immature fish, with a cap on the initial quota of 400 kt . The green lines show the index value from the autumn acoustic survey in 2015 , with the corresponding initial quota for $2016 / 2017$ shown on the $y$-axis.

Table 2.3.5.4 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. The basis of the advice.

| Advice basis | The basis is the precautionary approach, i.e. an initial quota is set with a very low probability of being <br> higher than a regression estimated final TAC. A final TAC will be set in autumn and winter that will have <br> a >95\% probability of SSB being greater than or equal to $\mathrm{B}_{\text {lim }}$ at spawning time in 2017. |
| :--- | :--- |
| Management plan | There is no agreed management plan. |

## Quality of the assessment

The acoustic survey in September-October 2015 did not cover the entire spatial distribution of the capelin stock; the abundance estimate is therefore likely an underestimate. However, the immature abundance estimate ( 6.2 billion) is well below the trigger value $\left(U_{\text {trigger }}=50\right.$ billion $)$ that would imply a quota greater than zero tonnes.

## Issues relevant for the advice

This initial quota advice needs to be followed up with in-season revisions before setting a final TAC in the winter.

It should be noted that the historical estimates of SSB have not yet been updated to take into account the revised process for estimating natural mortality. The update will not have any implications for this advice.

## Reference points

Table 2.3.5.5 Capelin in subareas 5 and 14 and Division $2 . a$ west of $5^{\circ} \mathrm{W}$. Reference points, values, and their technical basis.

| Framework | Reference <br> point | Value | Technical basis | Source |
| :--- | :--- | ---: | :--- | :---: |
|  | $\mathrm{MSY}_{\mathrm{B} \text { trigger }}$ | Undefined |  |  |
|  | $\mathrm{F}_{\text {MSY }}$ | Undefined |  |  |
| Precautionary <br> approach | $\mathrm{B}_{\text {lim }}$ | 150000 t | $\mathrm{B}_{\text {loss }}$ | $\mathrm{B}_{\mathrm{pa}}$ |
|  | $\mathrm{F}_{\text {lim }}$ | Undefined |  | ICES (2015) |
|  | $\mathrm{F}_{\mathrm{pa}}$ | Undefined |  |  |
| Management <br> plan | $\mathrm{SSB}_{\text {MGT }}$ | Undefined |  |  |
|  | $\mathrm{F}_{\text {MGT }}$ | Undefined |  |  |

## Basis of the assessment

Table 2.3.5.6 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. The basis of the assessment.

| ICES stock data category | 1 (ICES, 2016b). |
| :--- | :--- |
| Assessment type | The final TAC is based on a model which takes into account uncertainty in surveys and predation from <br> cod, haddock, and saithe on capelin to ensure that the advised catch will result in a less than 5\% chance <br> of SSB going below B <br> lim. The initial quota advice is set applying an advice rule designed to ensure a low <br> risk of advised catch being higher than the final TAC (see WKICE; ICES, 2015). |
| Input data | The abundance estimate of immature capelin of ages 1 and 2 from acoustic surveys in autumn. |
| Discards and bycatch | Not included, considered negligible. |
| Indicators | None. |
| Other information | Last benchmarked in 2015 (ICES, 2015). |
| Working group | North-Western Working Group (NWWG). |

## Information from stakeholders

There is no available information.

## History of advice, catch, and management

Table 2.3.5.7 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. History of ICES initial quota advice, the agreed final TAC, and ICES estimates of landings. Weights in thousand tonnes.

| Season | ICES advice | Initial quota advice^ | Agreed final TAC ^^ | ICES landings ${ }^{\wedge \wedge}$ |
| :---: | :---: | :---: | :---: | :---: |
| 1986/1987 | TAC | 1100 | 1290 | 1333 |
| 1987/1988 | TAC | 500 | 1115 | 1116 |
| 1988/1989 | TAC | 900 | 1065 | 1036 |
| 1989/1990 | TAC | 900 | 900 | 808 |
| 1990/1991 | TAC | 600 | 250 | 314 |
| 1991/1992 | No fishery pending survey results | 0 | 740 | 677 |
| 1992/1993 | Precautionary TAC^ | 500 | 900 | 788 |
| 1993/1994 | TAC | 900 | 1250 | 1179 |
| 1994/1995 | Apply the harvest control rule | 950 | 850 | 864 |
| 1995/1996 | Apply the harvest control rule | 800 | 1390 | 930 |
| 1996/1997 | Apply the harvest control rule | 1100 | 1600 | 1571 |
| 1997/1998 | Apply the harvest control rule | 850 | 1265 | 1245 |
| 1998/1999 | Apply the harvest control rule | 950 | 1200 | 1100 |
| 1999/2000 | Apply the harvest control rule | 866 | 1000 | 934 |
| 2000/2001 | Apply the harvest control rule | 650 | 1090 | 1071 |
| 2001/2002 | Apply the harvest control rule | 700 | 1300 | 1250 |
| 2002/2003 | Apply the harvest control rule | 690 | 1000 | 988 |
| 2003/2004 | Apply the harvest control rule | 555 | 900 | 741 |
| 2004/2005 | Apply the harvest control rule | 335 | 985 | 784 |
| 2005/2006 | Apply the harvest control rule | No fishery | 235 | 238 |
| 2006/2007 | Apply the harvest control rule | No fishery | 385 | 377 |
| 2007/2008 | Apply the harvest control rule | 207 | 207 | 202 |
| 2008/2009 | Apply the harvest control rule | No fishery | 0* | 15 |
| 2009/2010 | Apply the harvest control rule | No fishery | 150 | 151 |
| 2010/2011 | Apply the harvest control rule | No fishery | 390 | 391 |
| 2011/2012 | Set the TAC at 50\% of the initial quota in the HCR | 366 | 765 | 747 |
| 2012/2013 | Precautionary approach | No fishery | 570 | 551 |
| 2013/2014 | Precautionary approach | No fishery | 160 | 142 |
| 2014/2015 | Set the initial quota at $50 \%$ of the predicted quota in the HCR | 225 | 580 | 517 |
| 2015/2016 | Precautionary approach** | 53.6 | 173 | 174 |
| 2016/2017 | Precautionary approach** | 0 |  |  |

${ }^{\wedge}$ Advised for the early part of the season.
${ }^{\wedge \wedge}$ Final TAC recommended by national scientists for the whole season.
^^^ July-March of the following year.

* Only scouting quota was allocated in the latter half of February 2009.
** Initial quota advice based on low probability of advised catch being higher than the final TAC.


## History of catch and landings

Table 2.3.5.8 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. Catch distribution by fleet in 2015/2016 as estimated by ICES. Values are based on preliminary estimates.

| Total catch (2015/2016) | Commercial landings |  | Commercial discards |
| :---: | :---: | :---: | :---: |
| 174 kt | $93 \%$ purse seine | $7 \%$ pelagic trawl | Negligible |
|  | 174 kt |  |  |

Table 2.3.5.9 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. History of commercial catch and landings by calendar year; both the official and ICES estimated values are presented by season and country. Weights are in thousand tonnes.

|  | Winter season |  |  |  |  | Summer and autumn season |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Year | $\begin{aligned} & \text { 무 } \\ & \underline{C} \\ & \text { U } \end{aligned}$ | $\begin{aligned} & \text { त } \\ & \substack{\pi \\ 3 \\ \vdots} \end{aligned}$ | $\begin{aligned} & \text { ひ } \\ & \frac{0}{\pi} \\ & \text { ¢! } \end{aligned}$ |  | $\begin{aligned} & \overline{\widetilde{0}} \\ & 0 \\ & 0 \\ & 0 \\ & \tilde{\sim} \\ & \tilde{\sim} \end{aligned}$ | $\begin{aligned} & \text { ত} \\ & \underline{\pi} \\ & \underline{U} \\ & \underline{U} \end{aligned}$ | $\begin{aligned} & \text { त } \\ & \frac{3}{0} \\ & \frac{3}{2} \end{aligned}$ | $\begin{aligned} & \text { ひ } \\ & \frac{0}{\pi} \\ & \text { ¢ } \end{aligned}$ |  | ? | $\begin{aligned} & \bar{T} \\ & 0 \\ & 0 \\ & \bar{\delta} \\ & \tilde{\sim} \\ & \sim \end{aligned}$ |  |
| 1964 | 8.6 | - | - | - | 8.6 | - | - | - | - | - | - | 8.6 |
| 1965 | 49.7 | - | - | - | 49.7 | - | - | - | - | - | - | 49.7 |
| 1966 | 124.5 | - | - | - | 124.5 | - | - | - | - | - | - | 124.5 |
| 1967 | 97.2 | - | - | - | 97.2 | - | - | - | - | - | - | 97.2 |
| 1968 | 78.1 | - | - | - | 78.1 | - | - | - | - | - | - | 78.1 |
| 1969 | 170.6 | - | - | - | 170.6 | - | - | - | - | - | - | 170.6 |
| 1970 | 190.8 | - | - | - | 190.8 | - | - | - | - | - | - | 190.8 |
| 1971 | 182.9 | - | - | - | 182.9 | - | - | - | - | - | - | 182.9 |
| 1972 | 276.5 | - | - | - | 276.5 |  | - | - | - | - | - | 276.5 |
| 1973 | 440.9 | - | - | - | 440.9 | - | - | - | - | - | - | 440.9 |
| 1974 | 461.9 | - | - | - | 461.9 | - | - | - | - | - | - | 461.9 |
| 1975 | 457.1 | - | - | - | 457.1 | 3.1 | - | - | - | - | 3.1 | 460.2 |
| 1976 | 338.7 | - | - | - | 338.7 | 114.4 | - | - | - | - | 114.4 | 453.1 |
| 1977 | 549.2 | - | 24.3 | - | 573.5 | 259.7 | - | - | - | - | 259.7 | 833.2 |
| 1978 | 468.4 | - | 36.2 | - | 504.6 | 497.5 | 154.1 | 3.4 | - | - | 655.0 | 1159.6 |
| 1979 | 521.7 | - | 18.2 | - | 539.9 | 442.0 | 124.0 | 22.0 | - | - | 588.0 | 1127.9 |
| 1980 | 392.1 | - | - | - | 392.1 | 367.4 | 118.7 | 24.2 | - | 17.3 | 527.6 | 919.7 |
| 1981 | 156.0 | - | - | - | 156.0 | 484.6 | 91.4 | 16.2 | - | 20.8 | 613.0 | 769.0 |
| 1982 | 13.2 | - | - | - | 13.2 | - | - | - | - | - | - | 13.2 |
| 1983 | - | - | - | - | - | 133.4 | - | - | - | - | 133.4 | 133.4 |
| 1984 | 439.6 | - | - | - | 439.6 | 425.2 | 104.6 | 10.2 | - | 8.5 | 548.5 | 988.1 |
| 1985 | 348.5 | - | - | - | 348.5 | 644.8 | 193.0 | 65.9 | - | 16.0 | 919.7 | 1268.2 |
| 1986 | 341.8 | 50.0 | - | - | 391.8 | 552.5 | 149.7 | 65.4 | - | 5.3 | 772.9 | 1164.7 |
| 1987 | 500.6 | 59.9 | - | - | 560.5 | 311.3 | 82.1 | 65.2 | - | - | 458.6 | 1019.1 |
| 1988 | 600.6 | 56.6 | - | - | 657.2 | 311.4 | 11.5 | 48.5 | - | - | 371.4 | 1028.6 |
| 1989 | 609.1 | 56.0 | - | - | 665.1 | 53.9 | 52.7 | 14.4 | - | - | 121.0 | 786,1 |
| 1990 | 612.0 | 62.5 | 12.3 | - | 686.8 | 83.7 | 21.9 | 5.6 | - | - | 111.2 | 798.0 |
| 1991 | 202.4 | - | - | - | 202.4 | 56.0 | - | - | - | - | 56.0 | 258.4 |
| 1992 | 573.5 | 47.6 | - | - | 621.1 | 213.4 | 65.3 | 18.9 | 0.5 | - | 298.1 | 919.2 |
| 1993 | 489.1 | - | - | 0.5 | 489.6 | 450.0 | 127.5 | 23.9 | 10.2 | - | 611.6 | 1101.2 |
| 1994 | 550.3 | 15.0 | - | 1.8 | 567.1 | 210.7 | 99.0 | 12.3 | 2.1 | - | 324.1 | 891.2 |
| 1995 | 539.4 | - | - | 0.4 | 539.8 | 175.5 | 28.0 | - | 2.2 | - | 205.7 | 745.5 |
| 1996 | 707.9 | - | 10.0 | 5.7 | 723.6 | 474.3 | 206.0 | 17.6 | 15.0 | 60.9 | 773.8 | 1497.4 |
| 1997 | 774.9 | - | 16.1 | 6.1 | 797.1 | 536.0 | 153.6 | 20.5 | 6.5 | 47.1 | 763.6 | 1561.5 |
| 1998 | 457.0 | - | 14.7 | 9.6 | 481.3 | 290.8 | 72.9 | 26.9 | 8.0 | 41.9 | 440.5 | 921.8 |
| 1999 | 607.8 | 14.8 | 13.8 | 22.5 | 658.9 | 83.0 | 11.4 | 6.0 | 2.0 | - | 102.4 | 761.3 |
| 2000 | 761.4 | 14.9 | 32.0 | 22.0 | 830.3 | 126.5 | 80.1 | 30.0 | 7.5 | 21.0 | 265.1 | 1095.4 |
| 2001 | 767.2 | - | 10.0 | 29.0 | 806.2 | 150.0 | 106.0 | 12.0 | 9.0 | 17.0 | 294.0 | 1061.2 |
| 2002 | 901.0 | - | 28.0 | 26.0 | 955.0 | 180.0 | 118.7 | - | 13.0 | 28.0 | 339.7 | 1294.7 |
| 2003 | 585.0 | - | 40.0 | 23.0 | 648.0 | 96.5 | 78.0 | 3.5 | 2.5 | 18.0 | 198.5 | 846.5 |
| 2004 | 478.8 | 15.8 | 30.8 | 17.5 | 542.9 | 46.0 | 34.0 | - | 12.0 |  | 92.0 | 634.9 |
| 2005 | 594.1 | 69.0 | 19.0 | 10.0 | 692.0 | 9.0 | - | - | - | - | 9.0 | 701.1 |
| 2006 | 193.0 | 8.0 | 30.0 | 7.0 | 238.0 | - | - | - | - |  | - | 238.0 |
| 2007 | 307.0 | 38.0 | 19.0 | 12.8 | 376.8 | - | - | - | - | - | - | 376.8 |
| 2008 | 149.0 | 37.6 | 10.1 | 6.7 | 203.4 | - | - | - | - | - | - | 203.4 |
| 2009 | 15.1 | - | - | - | 15.1 | - | - | - | - | - | - | 15.1 |
| 2010 | 110.6 | 28.3 | 7.7 | 4.7 | 150.7 | 5.4 | - | - | - | - | 5.4 | 156.1 |
| 2011 | 321.8 | 30.8 | 19.5 | 13.1 | 385.2 | 8.4 | 58.5 | - | 5.2 | - | 72.1 | 457.3 |
| 2012 | 576.2 | 46.2 | 29.7 | 22.3 | 674.4 | 9 | - | - | 1 | - | 10.0 | 684.4 |
| 2013 | 454.0 | 40.0 | 30.0 | 17.0 | 541.0 | - | - | - | - | - | - | 541.0 |
| 2014 | 111.4 | 6.2 | 8.0 | 16.1 | 141.7 | - | 30.5 | - | 5.3 | 9.7 | 45.5 | 187.2 |
| 2015* | 353.6 | 50.6 | 29.9 | 37.9 | 471.9 | - | - | - | 2.5 | - | 2.5 | 474.4 |
| 2016* | 101.1 | 58.2 | 8.5 | 3.3 | 171.1 |  |  |  |  |  |  |  |

* Preliminary.


## Summary of the assessment

Table 2.3.5.10 Capelin in subareas 5 and 14 and Division 2.a west of $5^{\circ} \mathrm{W}$. Assessment summary by fishing season: summer/winter. A fishing season, e.g. 1978/79, starts in July 1978 and ends in March 1979. Recruitment of 1-year-old fish (billions) is given for 1st of August at the beginning of the season. Spawning-stock biomass (thousand tonnes) is given at the time of spawning (at the end of the fishing season, March-April). Landings (thousand tonnes) are by season.

| Season (summer/winter) | Recruitment (age 1) | Stock size (SSB) | Landings |
| :---: | :---: | :---: | :---: |
|  | billions | thousand tonnes | thousand tonnes |
| 1978/1979 | - | 600 | 1195 |
| 1979/1980 | 22 | 300 | 980 |
| 1980/1981 | 23.5 | 170 | 684 |
| 1981/1982 | 21 | 140 | 626 |
| 1982/1983 | 68 | 260 | 0 |
| 1983/1984 | 44.1 | 440 | 573 |
| 1984/1985 | 73.8 | 460 | 896 |
| 1985/1986 | 33.8 | 460 | 1312 |
| 1986/1987 | 58.6 | 420 | 1334 |
| 1987/1988 | 2.6 | 400 | 1116 |
| 1988/1989 | 43.9 | 440 | 1036 |
| 1989/1990 | 29.2 | 115 | 807 |
| 1990/1991 | 27.2 | 330 | 313 |
| 1991/1992 | 60 | 475 | 677 |
| 1992/1993 | 104.6 | 499 | 788 |
| 1993/1994 | 100.4 | 460 | 1178 |
| 1994/1995 | 119 | 420 | 864 |
| 1995/1996 | 165 | 830 | 930 |
| 1996/1997 | 111.9 | 430 | 1570 |
| 1997/1998 | 66.8 | 492 | 1246 |
| 1998/1999 | 121 | 500 | 1100 |
| 1999/2000 | 89.8 | 650 | 932 |
| 2000/2001 | 103.7 | 450 | 1071 |
| 2001/2002 | 101.8 | 475 | 1249 |
| 2002/2003 | - | 410 | 988 |
| 2003/2004 | 4.9 | 535 | 742 |
| 2004/2005 | 7.9 | 602 | 784 |
| 2005/2006 | - | 400 | 247 |
| 2006/2007 | 44.7 | 410 | 377 |
| 2007/2008 | 5.7 | 406 | 203 |
| 2008/2009 | 12.6 | 328 | 150 |
| 2009/2010 | 15.4 | 410 | 151 |
| 2010/2011 | 101.2 | 411 | 391 |
| 2011/2012 | 9.6 | 418 | 747 |
| 2012/2013 | 19.4 | 417 | 551 |
| 2013/2014 | 60.7 | 424 | 142 |
| 2014/2015 | 58 | 460 | 518 |
| 2015/2016 | 5.4 | 304* | 174** |
| Average | 56.27 | 425.0 | 765.8 |

*Based on predation model in current advice rule.
**Preliminary.

## Sources and references

ICES. 2015. Report of the Benchmark Workshop of Icelandic Stocks (WKICE), 26-30 January 2015, ICES Headquarters, Copenhagen, Denmark. ICES CM 2015/ACOM:31.

ICES. 2016a. Report of the North-Western Working Group (NWWG), 27 April-4 May 2016, ICES Headquarters, Copenhagen, Denmark. ICES CM 2016/ACOM:08.

ICES. 2016b. General context of ICES advice. In Report of the ICES Advisory Committee, 2016. ICES Advice 2016, Book 1, Section 1.2.

